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**Corporate Account Information Form**

Cash  Margin

**CUSTOMER ACCOUNT INFORMATION FORM**

*(Please accomplish completely and legibly)*

Name of the Corporation			
Principal Office Address (No, Street, Town/District, City/Province, Postal/Zip Code, Country)			
Nature of Business		SEC/DTI Registration No.	TIN No.
Tel No./Mobile No./Facsimile No (Including Country Code And Area Code)		Email Address	Years in Operation

**AUTHORIZED OFFICER(S) RESPONSIBLE FOR THE ACCOUNT:**

Any One  Any Two

Officer 1	Name	Position
Officer 2	Name	Position
Officer 3	Name	Position

**FINANCIAL AND INVESTMENT PROFILE OF THE CORPORATION**

Assets (in Php) <input type="checkbox"/> < 1.0 Million <input type="checkbox"/> < 5.0 Million <input type="checkbox"/> < 10.0 Million <input type="checkbox"/> Over 10.0 Million	Net Worth (in Php) <input type="checkbox"/> < 5.0 Million <input type="checkbox"/> < 10.0 Million <input type="checkbox"/> < 10.0 Million <input type="checkbox"/> Over 10.0 Million
Company's Gross Annual Income (in Php) <input type="checkbox"/> < 1.0 Million <input type="checkbox"/> < 5.0 Million <input type="checkbox"/> < 10.0 Million <input type="checkbox"/> Over 10.0 Million	Investment Objectives <i>List 1 to 4 in order of priority</i> <input type="checkbox"/> Growth <input type="checkbox"/> Capital Preservation <input type="checkbox"/> Speculation <input type="checkbox"/> Long Term Investment
Investment Experience <input type="checkbox"/> None <input type="checkbox"/> Limited <input type="checkbox"/> Good <input type="checkbox"/> Extensive	

**DISCLOSURES**

Are any of the directors or shareholders of the corporation a stockholder, employee or agent of a Broker/Dealer registered with the Securities and Exchange Commission?

No     Yes (Specify Names below)

1.  
2.  
3.

**MAILING OF INVOICES, MONTHLY STATEMENT OF ACCOUNT AND OTHER COMMUNICATION INSTRUCTIONS**

**CORPORATION'S BANKING REFERENCES**

Bank Account Name	Bank Account Number
Bank Name and Branch Address (Pls Indicate Complete Name And Address)	

**SIGNATURES**

Officer 1                      Date	Officer 2                      Date	Officer 3                      Date

Referror/Salesman	Processed by	Approved by
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**APPLICATION REQUIREMENTS AND INSTRUCTIONS**

1. Photocopy of each of the following documents duly certified by the corporate secretary:
  - a. SEC Registration
  - b. Articles of Incorporation, Partnership or equivalent.
  - c. By-Laws of the corporation.
  - d. Latest General Information Sheet (GIS) filed with the Securities and Exchange Commission (SEC).
  - e. Latest Audited Financial Statements.
2. Notarized Board Resolution authorizing the corporation to open an account with Bonds, Equities, Securities and Traders, Inc. and the designated officer(s) authorized to transact and trade in behalf of the corporation.
3. Sworn Statement as to the existence or non-existence of beneficial owners.
4. Photocopy of one (1) identity document issued by the Philippine Government of the authorized officer(s) such as passport, driver's license, SSS / GSIS ID, Professional Regulation Commission (PRC) ID and Comelec Voter's ID.
5. Two (2) copies of Specimen Signature Cards of the authorized officer(s).

**BROKER'S AGREEMENT**

I/We (the CLIENT) hereby engage the services of BONDS, EQUITIES, SECURITIES AND TRADERS, INC. (the BROKER) to act as our stockholder/agent and in view thereof agree to abide by the following provisions:

1. The CLIENT hereby represent and warrants that (i) for natural persons, he/she possesses the legal capacity, and is not suffering from any legal impediment, to execute this agreement and comply with all its terms and conditions, (ii) for judicial persons, that it is duly organized and existing in accordance with Philippine laws (if a domestic entity) or laws of the country of its origin (if a foreign entity) and that its representative / signatory to this agreement for and on its behalf, (iii) for foreign judicial persons, the execution, delivery, performance, and observance of the provisions of this agreement will not violate any laws of their country, and (iv) the signature(s) of the CLIENT or its representative appearing herein below is (are) the genuine, official and authorized signature(s) of said party in so far any transaction covered by this agreement with the BROKER is concerned and said signature may at all times be referred to by the BROKER for verification purposes for any transaction covered by this agreement.
2. Orders for the purchase and sale of securities made to the BROKER by the CLIENT shall be subject to the following conditions, provided that it is not inconsistent with any laws, rules, regulations, and policies issued by the Securities and Exchange Commission, Philippine Stock Exchange and Philippine Central Depository, or any agency of the Philippine Government;
  - (a) The CLIENT can make the order in writing, or verbally through telephone or through another person and the BROKER may rely upon or act in accordance with such orders. In acting upon the orders of the CLIENT, the BROKER shall exert its best effort in complying thereto but without any warranties that said orders will be fully carried-out.
  - (b) Once the BROKER issues and sends a confirmation notice, the CLIENT is immediately bound to the transaction involved therein and any agreement, condition, commitment, undertaking, or option, which the BROKER agreed to, must be complied with by the CLIENT. In pursuing any transaction for the CLIENT, the BROKER does not warrant the quality or condition of any security, or its issuer or seller.
  - (c) In a BUY ORDER, the CLIENT shall pay and remit to the BROKER the entire value of the purchase transaction including all related expenses within three (3) working days from the date the BROKER made the purchase, or earlier, if the prescribed settlement period is altered by the SEC/PSE. Upon purchase, the securities shall be registered in the name of the BROKER until the CLIENT pays fully the total transaction value. Once payment is made, the corresponding certificates shall be made out in the name of the CLIENT. Should the CLIENT fail to remit the required payment within the agreed period a 3.25% interest per month will be charged. Further, the BROKER at its option is authorized to dispose and sell the securities for the payment of the cost. Any shortfall between the purchase and disposition cost, any debit balance shall be paid by the CLIENT. Any outstanding debit balance shall also charged 3.25% interest per month. In case of SELL ORDERS, the CLIENT undertakes to deliver the stock certificates, including all documents evidencing ownership, to the BROKER within (3) working days from the date the securities are sold by the BROKER or earlier, if the prescribed settlement period is altered by the SEC/PSE. The proceeds of the sale shall be forwarded to the CLIENT WITHIN (3) working days from the submission of the certificate of stock to the BROKER.
  - (d) The BROKER, when acting upon any order or instruction of the CLIENT shall not be liable to any third party in any case any suit, litigation or controversy is filed arising from any transaction entered for and on behalf of the CLIENT. In case the BROKER is sued, the CLIENT shall assume full and sole responsibility and shall absolved from any liability whatsoever.
3. Any and all moneys or securities which are in the possession of the BROKER as security or collateral for the payment of any liability or unsettled obligation of the CLIENT to the BROKER, with full and complete authority to transfer, sell and convey, to third persons or acquire it for its own use when in the exclusive judgment of the BROKER such transfer, conveyance, sale or acquisition is necessary.
4. All communications whether by mail, telegraph, fax telephone, messenger or otherwise which is sent by the BROKER to the address or through the telephone number appearing in the account application form of the CLIENT shall be considered personally delivered to and received by the CLIENT. It is agreed that all the address or telephone numbers given by the CLIENT is conclusive as to its correctness except when the CLIENT forwards a written notice of change of telephone number or address and such notice was received by the BROKER at least twenty four (24) hours before any communication is sent.
5. In the event of any controversy or litigation arising out of this agreement, the BROKER'S books shall be final and conclusive of the amount due to the BROKER. The BROKER, in addition to other amounts which the court shall adjudicate, shall be entitled to recover liquidated damages, which shall not be less than twenty-five percent (25%) of the total amount claimed. The venue of all actions not falling within the exclusive jurisdiction of the Securities and Exchange Commission (SEC) must be filed with the trial courts of the City of Makati to the exclusion of all other similar courts of concurrent jurisdiction.
6. The BROKER and the CLIENT hereby agree that either party may produce telephonic recordings or computer records as evidence in any proceedings brought in connection with this Agreement and the CLIENT hereby agrees to the BROKER'S telephonic or electronic recording.
7. The BROKER reserves the right to close the CLIENT'S account if it remains inactive for a period of one (1) year.
8. This agreement shall take effect immediately, and shall not revoked by either party except five (5) days prior written notice to the other party. All transactions entered into before the effectivity of the revocation is binding and effective on the parties.

CONFORME:

Officer 1 Date	Officer 2 Date	Officer 3 Date